

Rec'd PCT/PTO 03 JUN 2004

EFW

SENT BY: CPLP

; 6- 3- 4 ; 9:07AM ;

212-9725487→

USPTO: # 1 / 3



COHEN PONTANI LIEBERMAN & PAVANE

551 Fifth Avenue, New York, NY 10176 phone 212.687.7770 fax 212.972.5487 www.cplplaw.com

OIPE Customer Service

To: ~~Cathy Short/Filing Receipt Branch~~ From: Ralph Grullon
Fax: 703-305-3230 Pages: 3 (total number of pages)
Re: Date: Thursday, June 03, 2004

☐ Confirmation will follow

☐ No confirmation to follow

RE: U.S. Patent Application No. 10/647,000
For: Radiation-Emitting Semiconductor Component
Our File No. 5367-40

Please correct the filing receipt as indicated on the marked up original. The foreign applications are **GERMANY 102 38 524.6** dated **AUGUST 22, 2002** and **GERMANY 103 06 311.0** dated **FEBRUARY 14, 2003**. Thank you.

Confidentiality Statement

This transmission may contain information which is legally privileged or otherwise protected from disclosure. Its contents are confidential and intended for the addressee only, and must not be used, copied or disseminated by any person other than the addressee. The recipient is requested to notify the sender immediately of any error in transmission and to destroy any transmission not intended for the recipient.

**If all pages are not received
or you otherwise experience transmission difficulties
Please call us at (212) 687-2770**

BEST AVAILABLE COPY

SENT BY:CPLP

; 6- 3- 4 ; 9:07AM ;

212-9725487-

USPTO:# 2/ 3

Page 1 of 2



UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE
United States Patent and Trademark Office
Address COMMISSIONER FOR PATENTS
P.O. Box 1450
Alexandria, Virginia 22313-1450
www.uspto.gov

APPL NO.	FILING OR 371 (c) DATE	ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLMS	IND CLMS
10/647,000	08/22/2003	2878	750	5367-40	1	20	1

COHEN, PONTANI, LIEBERMAN & PAVANE
Suite 1210
555 Fifth Avenue
New York, NY 10176

CONFIRMATION NO. 1114

FILING RECEIPT



OC000000011283590

Date Mailed: 11/17/2003

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Filing Receipt Corrections, facsimile number 703-746-9195. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Norbert Linder, Residence Not Provided;
Peter Stauss, Residence Not Provided;
Mark Hampel, Residence Not Provided;
Klaus Strubel, Residence Not Provided;

Assignment For Published Patent Application

Osram Opto Semiconductors GmbH, Regensburg, GERMANY;

Domestic Priority data as claimed by applicant

Foreign Applications

GERMANY 102 38 524.6 ~~02/14/2003~~ ← 8/22/02
GERMANY 103 063 11-0 2/14/03

If Required, Foreign Filing License Granted: 11/15/2003

Projected Publication Date: To Be Determined - pending completion of Missing Parts

Non-Publication Request: No

Early Publication Request: No

COHEN, PONTANI, LIEBERMAN & PAVANE

Title

NOV 19 2003

RECEIVED

BEST AVAILABLE COPY

SENT BY:CPLP

; 6- 3- 4 ; 9:08AM ;

212-9725487→

USPTO:# 3/ 3

Page 2 of 2

Radiation-emitting semiconductor component

Preliminary Class

250

LICENSE FOR FOREIGN FILING UNDER Title 35, United States Code, Section 184 Title 37, Code of Federal Regulations, 5.11 & 5.15

GRANTED

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

NOT GRANTED

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).